

Docket No. 276504US0PCT



MAIL STOP PCT

IAP3 Rec'd PCT/PTO 18 NOV 2005

PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kikuo ARIMOTO, et al.

SERIAL NO: 10/546,003

GAU:

FILED: August 18, 2005

EXAMINER:

FOR: NOVEL POLYMER AND PROCESS FOR PRODUCING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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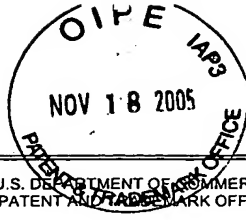
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 276504US0PCT		SERIAL NO. 10/546,003	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kikuo ARIMOTO, et al.			
				FILING DATE August 18, 2005		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 153 714	11/28/00	Donald Albert BANSLEBEN et al.			
	AB	6 506 860	01/14/03	Donald Albert BANSLEBEN et al.			
	AC	6 613 910	09/02/03	Robert H. GRUBBS et al.			
	AD	6 759 537	07/06/04	Robert H. GRUBBS et al.			
	AE	6 818 586	11/16/04	Robert H. GRUBBS et al.			
	AF	6 838 489	01/04/05	Andrew BELL et al.			
	AG	2003/83445	05/01/03	Robert H. GRUBBS et al., serial No. 10/138,188			
	AH	6 203 923	03/20/01	Donald Albert BANSLEBEN et al.			
	AI	6 559 241	05/06/03	Hideharu IWASAKI et al.			
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	00/18579	04/06/00	WO(equivalent of US 6203923)	NO		
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



U.S. PCT Application Serial No.: 10/546,003
Docket No.: 276504US0PCT

STATEMENT OF RELEVANCY

- 1) References _____ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) Reference _____ has been cited in the corresponding _____ Report. A copy of these references is being submitted herewith.
- 3) Reference AO is discussed in the specification. A copy of the reference is being submitted here with.
- 4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.



LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
276504US0PCT*	10/546,003	08/18/05		ARIMOTO, et al.
271842US0X	11/184,504	07/19/05		ARIMOTO, et al.
PER CLIENT	6,153,714	11/28/00		BANSLEBEN, et al.
PER CLIENT	6,506,860	01/14/03		BANSLEBEN, et al.
PER CLIENT	6,613,910	09/02/03		GRUBBS, et al.
PER CLIENT	6,759,537	07/06/04		GRUBBS, et al.
PER CLIENT	6,818,586	11/16/04		GRUBBS, et al.
PER CLIENT	6,838,489	01/04/05		BELL, et al.
PER CLIENT	10/138,188	05/03/02	US2003/0083445 A1	GRUBBS, et al.
PER CLIENT	6,203,923	03/20/01		BANSLEBEN, et al.
220745US0	6,559,241	05/06/03		IWASAKI, et al.

*Present Application; listed for information
NFO/kch/hah